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Applicant(s): William Graham et al.

Docket No.

60492/4.3

Application No.

10/816,124

Filing Date

April 1, 2004

Examiner

Customer No.

32642

Group Art Unit

3725

Invention: SYSTEM AND METHOD FOR PULVERIZING AND EXTRACTING MOISTURE



I hereby certify that this Information Disclosure Statement (2 pgs.); (see below)

(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

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PTO-1449 with copies of foreign cited references
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

William Graham et al.

Confirmation No. 9616

Application No. 10/816,124

Filed: April 1, 2004

For: **SYSTEM AND METHOD FOR
PULVERIZING AND EXTRACTING
MOISTURE**

Group Art Unit: 3725

Examiner:

Date: June 28, 2004

INFORMATION DISCLOSURE STATEMENT

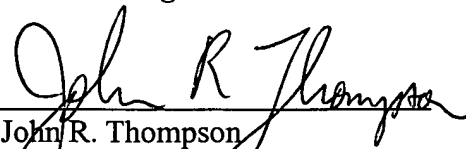
TO THE COMMISSIONER FOR PATENTS:

1. Pursuant to the duty of disclosure, documents listed on the accompanying Form PTO-1449 (or equivalent) are presented for the Examiner's consideration.
 - ☒ Copies of listed documents are enclosed. (37 CFR § 1.98(a))
 - ☒ Copies of listed U.S. patent documents are omitted because this application was filed after June 30, 2003 and is, thus, subject to image file wrapper processing. Copies of listed foreign patent documents and non-patent literature are enclosed.
 - ☐ Copies of the documents listed on sheet(s) _____ of Form PTO-1449 (or equivalent) are omitted because (1) they are already of record in U.S. Patent Application No. _____, filed _____, on which this application relies for an earlier filing date under 35 U.S.C. § 120; and (2) any information disclosure statement filed in the prosecution of Application No. _____, complies with 37 CFR §§ 1.98(a) through (c). (37 C.F.R. § 1.98(d))
2. ☐ The Examiner's attention is directed to the enclosed copy of copending U.S. Patent Application No. _____, filed _____, for _____, which is cited in this application.
3. This information disclosure statement is being submitted (check box a., b., or c.):
 - a. ☒ Within three months of the filing date of a national application or entry of the national stage in an international application; or before the mailing of a first Office action on the merits; or before the mailing of a first Office action after the filing of a request for continued examination under 37 CFR 1.114. (No statement under 37 CFR 1.97(e) is required.); or

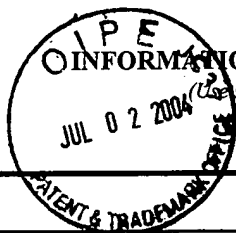
- b. ☐ After the period set forth in paragraph 3a, but before the mailing date of either a final action, a notice of allowance, or an action that otherwise closes prosecution in the application. (Check box i. or ii.)
- i. ☐ A \$180.00 information disclosure statement submission fee set forth in 37 CFR 1.17(p) is enclosed, or
- ii. ☐ A statement specified by 37 CFR 1.97(e) is set forth below; or
- c. ☐ After the mailing date of a final action or notice of allowance and on or before payment of the issue fee. A statement specified by 37 CFR 1.97(e) is set forth below. Enclosed is a \$180.00 information disclosure statement processing fee set forth in 37 CFR 1.17(p).
4. If a statement specified by 37 CFR 1.97(e) is required, the attorney or agent signing below hereby states that:
- ☐ each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
- ☐ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.
5. ☐ A concise explanation of the relevance of each document not in the English language and/or selected documents in the English language is set forth below.

Respectfully submitted,

Power Technologies Investment Ltd.

By 
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Attorney Docket No. 60492/4.3



INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

60492/4.3

Application Number

10/816,124

Applicant(s)

William Graham et al.

Filing Date

April 1, 2004

Group Art Unit

3725

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	3,255,793	06/14/66	Clute	146	1	03/01/63
	A2	4,418,871	12/06/83	Powell	241	1	07/15/81
	A3	4,439,042	03/27/84	Bertoglio	366	154	10/25/82
	A4	6,024,307	02/15/00	Sand et al.	241	24.1	11/23/98
	A5	6,491,242	12/10/02	Dingee, IV et al.	241	39	07/14/00

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	B1	2001/0042802	11/22/01	Youds	241	5	04/06/01

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	C1	GB 2 354 232	03/21/01	UK				
	C2	GB 2 357 499	06/27/01	UK				
	C3	GB 2 357 712 A	07/04/01	UK				
	C4	GB 2 358 629	01/08/01	UK				
	C5	WO 98/35756	08/20/98	PCT				

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. 60493/4.3		APPLICATION NO. 10/816,124		
				APPLICANT(S) William Graham et al.				
				FILING DATE April 1, 2004		GROUP ART UNIT 3725		
U.S. PATENT DOCUMENTS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	A6	6,588,686	07/08/03	Dingee, IV et al.	241	5	12/04/02	
U.S. PATENT APPLICATION PUBLICATIONS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	C6	WO 01/12332	02/22/01	PCT				
	C7	WO 03/006166 A1	01/23/03	PCT				
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
EXAMINER				DATE CONSIDERED				
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								